

**ARIC Manuscript Proposal # 1324**

**PC Reviewed:** 12/11/07

**Status:** A

**Priority:** 2

**SC Reviewed:** \_\_\_\_\_

**Status:** \_\_\_\_\_

**Priority:** \_\_\_\_\_

**1.a. Full Title:**

Neighborhood and Individual Socioeconomic Status and Heart Failure Rehospitalization:  
ARIC Cohort

**b. Abbreviated Title (Length 26 characters):**

SES and HF Rehospitalization

**2. Writing Group:**

Wayne Rosamond, Kathryn rose, Chirayath Suchindran, Patricia Chang, Eyal  
Shahar, Gerardo Heiss, others welcome

I, the first author, confirm that all the coauthors have given their approval for this  
manuscript proposal. RF **[please confirm with your initials electronically or in  
writing]**

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### **3. Timeline:**

Analyses to begin in January 2008. An abstract will be prepared for the June 2008 Society of Epidemiologic Research (SER) meeting. A draft of manuscript is expected during Fall 2008.

### **4. Rationale:**

#### Rehospitalizations for Heart Failure

Hospitalizations for heart failure (HF) have increased almost 160% since 1979, and caring for HF patients costs approximately \$30 billion annually in the US. Repeat hospitalizations following a diagnosis of HF are common, are due to a worsening of HF symptoms or other clinical comorbidities, and are a burden on the health care system. Known clinical factors and behaviors such as diabetes, hypertension, stroke, COPD, current smoking and alcohol use impact rates of rehospitalization for HF<sup>1-5</sup>. Less understood, however, is the independent role of neighborhood socioeconomic status (nSES) and individual-level SES (iSES) on patterns of rehospitalization in the presence of other patient characteristics<sup>6</sup>. Previous studies have described the clinical course of HF in terms of time to readmission, readmission rates and hospital length of stay (LOS)<sup>7-12</sup>

Common underlying conditions, such as coronary heart disease, coronary artery disease, hypertension, diabetes and atrial fibrillation have been identified among acutely hospitalized HF patients in EHFS II (EuroHeart Failure Survey II) and ADHERE (Acute Decompensated Heart Failure Registry)<sup>13,14</sup>. However, comorbidities associated with hospital readmissions among ARIC cohort participants with HF have not been identified.

#### nSES and HF Rehospitalizations

Although higher rates of hospital readmission have been observed among socially deprived groups<sup>6,15-18</sup>, neighborhood socioeconomic factors have not been assessed in the context of individual-level clinical, behavioral, socioeconomic and demographic factors. nSES may affect susceptibility to readmission among patients with HF<sup>19-21</sup>. Identifying social and economic neighborhood forces which impact health would have important implications for the management and treatment of HF patients<sup>22,23</sup>.

#### iSES and HF Hospitalizations

Patients with fewer personal socioeconomic resources tend to be readmitted to the hospital more often than patients of high iSES<sup>16,17,24</sup>. In a study of all-cause hospitalizations, Weissman et al. reported a higher probability of readmission in a study of 12,000 patients among those who were poor, worked in unskilled or semiskilled occupations, or rented their homes<sup>25</sup>. There are many hypothesized mechanisms for this

relationship, including discrepancies in the quality of care provided to patients of different levels of iSES, relatively fewer regular contacts with a provider of preventive medicine, and a lower education/literacy level among patients of low iSES which may lead to medication non-adherence<sup>17,25</sup>.

### Conclusion

The proposed research will describe the role of nSES and iSES in the clinical course of HF, including: time to readmission, rehospitalization rates, hospital LOS and associated diagnoses in the ARIC cohort. An awareness of the independent or joint effect of nSES and iSES on rehospitalizations will aid public health professionals in identifying mechanisms that increase risk of rehospitalization among heart failure patients.

### **5. Main Hypothesis/Study Questions:**

1. nSES and iSES are independent predictors of time to readmission (all-cause, cardiovascular-related, and HF rehospitalization).
  - a. Does iSES (or nSES), race, age, gender, study community or year of incident HF hospitalization modify the nSES (or iSES) – time to readmission association?
  - b. What are the common underlying conditions of readmission (all-cause, cardiovascular-related, and HF rehospitalization)?
2. nSES and iSES are inversely associated with the rate of readmissions (all-cause, cardiovascular-related, and HF).
  - a. Does iSES (or nSES), race, age, gender or study community modify the nSES (or iSES) – readmission rate association?
3. nSES and iSES are inversely associated with the cumulative LOS for hospitalizations (all-cause, cardiovascular-related, and HF).
  - a. Does iSES (or nSES), race, age, gender or study community modify the nSES (or iSES) – LOS association?

**6. Design and analysis (study design, inclusion/exclusion, outcome and other variables of interest with specific reference to the time of their collection, summary of data analysis, and any anticipated methodologic limitations or challenges if present).**

### Data sources:

ARIC cohort data will be analyzed over the time period baseline-2004.

### SES Exposures:

The area-level (nSES) measures selected for study from the 1990 and 2000 US Census are: median household income; median value of housing units; percent of households with interest, dividend or rental income; percent of adult residents who completed high school; percent of adult residents who completed college; and percent employed residents with executive, managerial or professional occupations.

Baseline iSES measures selected for study are available in the ARIC cohort database: family income, education, occupation, and health insurance status (i.e., receipt of Medicaid).

### Health Outcomes:

We aim to characterize the clinical course of HF among participants with incident HF in the ARIC cohort. Time to first hospital readmission (all-cause, cardiovascular-related, and HF rehospitalization) an outcome of interest for this analysis. Rates of readmissions (total number of rehospitalizations over person-time of follow-up) for all-cause, cardiovascular-related, and HF rehospitalizations will also be examined. Cumulative LOS for all-cause, cardiovascular-related, and HF rehospitalizations is an additional outcome of interest for the analysis. LOS, measured in days, will be categorized into time intervals of short, medium and long duration.

Preliminary data from the Cohort Event Eligibility (CEL) form show that among the 1,542 participants with incident HF, 1,452 have been rehospitalized at least once. There are a total of 6,652 hospitalizations among participants with incident HF which occurred after the incident HF hospitalization. The number of rehospitalizations per participant ranges from one to 48, with a mean of 4.6, a median of three and a mode of two. Approximately 86% of the readmissions are CVD-related.

Covariates include race, gender, age, study community, year of incident hospitalization and selected clinical (i.e. history of diabetes, myocardial infarction, hyperlipidemia, coronary heart disease, BMI) and behavioral (i.e., alcohol use, smoking) characteristics at baseline.

### Data Analysis:

The analysis will be restricted to participants with incident HF in the ARIC cohort (excluding patients with prevalent HF at baseline) who survive their incident HF hospitalization. As of 2004, 1,542 participants have been identified with incident HF in the ARIC cohort. All 6,652 hospital readmissions that occurred after the incident HF hospitalization are of interest, and will be investigated as all-cause, cardiovascular-related and HF readmissions, respectively. The number of deaths occurring among participants with incident HF may present a methodologic challenge that would need to be addressed using a competing risk model<sup>26</sup>.

Time to readmission over the course of follow up will be measured by the product-limit (Kaplan-Meier) method. Multivariate Cox proportional hazard models will be used to

estimate the risk of rehospitalization using death during follow-up as a censoring variable. Survival curves depicting survival free of readmission will be produced from the model, and the proportional hazards assumption will be assessed. The prevalence of common underlying conditions will be assessed at the time of the first rehospitalization occurring after the incident hospitalization.

We will use SAS software (SAS Institute, Cary, NC) with the procedure PHREG and the repeated events option to model rehospitalization rates. The rate will be calculated as the number of hospitalizations and the overall person-time at risk for every participant. The individual number of hospitalizations will be modeled, with the logarithm of the individual person-days at risk as the offset.

Multinomial regression using generalized estimation equations will be used to estimate odds ratios (OR) for LOS (categories, in days) and 95% confidence intervals (CI) and to account for the clustering of observations by census tract (SAS-callable SUDAAN, Release 9.0.1, Research Triangle Institute, Research Triangle Park, NC)<sup>27</sup>. Crude nSES/iSES-outcome analyses will be conducted, the influence of covariates in a full model will be tested, and effect modification of the nSES/iSES-outcome relationship will be explored.

**7.a. Will the data be used for non-CVD analysis in this manuscript?** \_\_\_\_ Yes  
\_\_X\_\_ No

**b. If Yes, is the author aware that the file ICTDER02 must be used to exclude persons with a value RES\_OTH = "CVD Research" for non-DNA analysis, and for DNA analysis RES\_DNA = "CVD Research" would be used?** \_\_\_\_

Yes \_\_\_\_ No N/A

(This file ICTDER02 has been distributed to ARIC PIs, and contains the responses to consent updates related to stored sample use for research.)

**8.a. Will the DNA data be used in this manuscript?** \_\_\_\_ Yes \_\_X\_\_ No

**8.b. If yes, is the author aware that either DNA data distributed by the Coordinating Center must be used, or the file ICTDER02 must be used to exclude those with value RES\_DNA = "No use/storage DNA"?**

\_\_\_\_ Yes \_\_\_\_ No N/A

**9. The lead author of this manuscript proposal has reviewed the list of existing ARIC Study manuscript proposals and has found no overlap between this proposal and previously approved manuscript proposals either published or still in active status. ARIC Investigators have access to the publications lists under the Study Members Area of the web site at: <http://www.csc.unc.edu/ARIC/search.php>**

\_\_X\_\_ Yes \_\_\_\_\_ No

**10. What are the most related manuscript proposals in ARIC (authors are encouraged to contact lead authors of these proposals for comments on the new proposal or collaboration)?**

MS 180, MS 454 (Diez Roux)  
MS 864 (Borrell)  
MS 927 (Rosamond)  
MS 1102 (Rose)  
MS 1160 (King)

**11. a. Is this manuscript proposal associated with any ARIC ancillary studies or use any ancillary study data?** ☒ Yes ☐ No

**11.b. If yes, is the proposal**

☒ **A. primarily the result of an ancillary study (AS 1998.02)**

☐ **B. primarily based on ARIC data with ancillary data playing a minor role (usually control variables; list number(s)\* \_\_\_\_\_ )**

\*ancillary studies are listed by number at <http://www.csc.unc.edu/aric/forms/>

**12. Manuscript preparation is expected to be completed in one to three years. If a manuscript is not submitted for ARIC review at the end of the 3-years from the date of the approval, the manuscript proposal will expire.**

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